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IN THE

SUPREME COURT OF THE UNITED STATES

OCTOBER TERM, 1972

No.

ERWIN W. STONE,

Petitioner,

v.

UNITED STATES OF AMERICA,

Respondent.

PETITION FOR WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SEVENTH CIRCUIT AND REQUEST FOR SUMMARY REVERSAL

Erwin W. Stone, your Petitioner herein, respectfully prays that a Writ of Certiorari issue to review the judgment of the United States Court of Appeals for the Seventh Circuit, and the Petitioner further requests Summary Reversal.

OPINIONS BELOW

The opinion of the Court of Appeals is reported verbatim herein. (App. "A", pp. 1-16) It has not as yet been

officially reported. The opinion of the Court of Appeals denying rehearing and hearing en banc is reported verbatim herein. (App. "B", p. 1) The opinion of the District Court for the Southern District of Illinois is reported verbatim herein. (App. "C", pp. 1-3)

JURISDICTION

The judgment of the Court of Appeals was entered on November 29, 1972. (App. "A", p. 1) A petition for rehearing was denied on January 3, 1973. (App. "B", p. 1) The jurisdiction of this Court is invoked under the provisions of 28 U.S.C., Sec 1254(1).

QUESTIONS PRESENTED

Whether a gun admitted into evidence, which was admittedly not used in the crime charged, violated the defendant's right to a fair trial under the due process of the Fifth Amendment?

Whether evidence used against the Petitioner was the result of an illegal search and seizure, thereby violating his rights under the Constitution of the United States?

CONSTITUTIONAL PROVISIONS INVOLVED

The Fourth Amendment to the United States provides in pertinent part as follows:

> "The right of the People to be secure in their persons, houses, papers and effects, against unreasonable search and seizures, shall not be violated * * *."

The Fifth Amendment to the Constitution of the United States provides in pertinent part as follows:

> "* * * nor be deprived of life, liberty, or property, without due process of law * * *"

STATEMENT

Jurisdiction in the Trial Court was based on Title 18 of the United States Code, Secs. 2113(a), (b), (d), (Armed Bank Robbery).

The Defendant was indicted in three counts for the crime of Bank Robbery on March 11, 1970. The Defendant was arrested and charged with the crime eleven months later.

At the trial, four bank employees who had witnessed the crime testified as to the events of the day in question. None of them was able to make an unequivocal positive identification of the Defendant, but two employees thought the Defendant was the man who had robbed the bank. The bank robber was masked and wore a cap.

Two acquaintances of the Defendant testified that he admitted to them that he had committed the bank robbery. One said the Defendant had told her that he was planning to rob the bank, and gave this witness some monies from the bank robbery, and advised her that he had buried the gun and clothing used in the robbery. Thereafter, an altercation developed between the two, and the Defendant advised this witness that if she reported the crime he would kill her, and showed her a small pistol which he carried.

The second acquaintance testified that after the occurrence, the defendant showed him a safety deposit box which contained the fruits of the crime; subsequently this witness traveled with the defendant under duress to various cities in Illinois and Iowa where the defendant allegedly converted the stolen money into cashiers' checks.

The defendant testified in his own behalf and maintained his innocence.

The introduction of a .22 caliber pistol into evidence was apparently unconnected with the robbery. This revolver was taken from underneath the mattress in the defendant's home at the time of his arrest. Although a gun was used in the bank robbery, testimony indicated that the firearm used in the robbery was of a longer barrel. Government's counsel, in his closing argument, conceded as much stating, "Now I'm not suggesting, ladies and gentlemen, that this was the gun in which the bank robbery was completed. In fact, the barrel isn't long enough * * *". The prosecutor indicated that the purpose for which the gun had been introduced was to corroborate the testimony of both witnesses to whom the defendant allegedly confessed, as well as to promote an image of the defendant as a dangerous and generally disreputable person.

The defendant was arrested at home and removed from the premises. The defendant refused to give his consent to search his home to the agents of the Federal Bureau of Investigation. While the defendant was outside the premises, the defendant's wife was told by the agents that she could sign the consent to search or that they could get a search warrant. This was denied by the agent in charge of the case. The wife of the defendant did sign a consent to search. Thereupon the agents searched the house and seized a tackle box in a different room where the defendant was arrested containing duplicate copies of the money orders and cashiers' checks obtained by the defendant. The tackle box and its contents were admitted into evidence over the objection of the defendant.

The defendant testified that he did not give his wife permission to consent to the search. The F. B. I. agent in charge testified that he had conversed with the Assistant United State's Attorney handling the case, presumably before the search, and had been advised there was insuffi-

cient probable cause for the authorization of a search warrant.

The defendant was sentenced for a period of fifteen (15) years, which was entered on the 30th day of July, 1971.

REASONS FOR GRANTING THE WRIT

I.

The court below has decided Federal questions of substance in conflict with the due process principles enunciated in this Court. It has departed from the accepted and usual course of judicial proceedings, and its sanction of such departure by the Trial Court resulted in an erroneous judgment and a miscarriage of justice, and deprived the Petitioner of his rights to due process of law and equal protection of the law.

Moore v. Illinois, 408 U.S. 786, 798-800 (1972), was the cornerstone upon which the admission of the weapon in the case at bar was held to be relevant and probative under the circumstances of the case. It is suggested that *Moore* was applied too broadly. In *Moore*, the introduction of a 16-gauge shotgun into evidence in a murder trial in which the victim had been killed by a 12-gauge shotgun, was permissible under the due process clause. The prosecutor stated that the purpose was to show that the defendant and his companion were the type of people that used shotguns. The Court held that it did not violate the defendant's right to a fair trial under the due process clause of the Fourteenth Amendment. In *Moore*, the shotgun that was admitted into evidence was obtained during an arrest while the defendants were in an automobile. The possession of the shotgun itself at that time was illegal. In the case at bar, the gun was kept in a home, and the defendant had every lawful right to keep said weapon where it was found.

The issue of the admission of the gun was squarely raised and we submit that its public importance is obvious, and that it was wrongly decided below.

The position taken by the Court of Appeals in this case manifests a flagrant repudiation of the standards which due process requires. The Seventh Circuit itself has held in *United States v. Reid,* 410 F. 2d 1223 (1969), that the introduction of testimony concerning dangerous weapons found among the belongings of a defendant charged with a crime, no part of which depends upon the use or ownership of the weapon, has consistently been regarded as prejudicial error requiring a new trial. In *United States v. Kwitek,* 433 F. 2d 18, 20 (1970), the Court held that it was prejudicial error to allow two revolvers, which were neither offered or received into evidence, to be seen by the jury and testimony concerning them to be received in a case of armed robbery of a bank. The Court offered a cautionary instruction that the pistols had not been offered into evidence, and must not be considered by the jury as evidence against the defendant. Even with a cautionary instruction, the Seventh Circuit reversed, and it is noted, that in the case at bar, no cautionary instruction was tendered.

The Second Circuit has held no error in *U.S. v. Baker,* 419 F. 2d 83 (1969), Cert. den. 397 U.S. 976, where during a search incident to an arrest of the defendant, F.B.I. agents discovered and seized two guns which were admitted at the trial. Further, the Second Circuit has held in *U.S. v. Ravich,* 421 F. 2d 1196 (1970), Cert. den., 400 U.S. 894, that the introduction of evidence that the defendant possessed guns on or before the date of the bank robbery, had probative value. The Circuits are in conflict.

The Fifth Circuit in *Thomas v. U.S.,* 376 Fed. 2d 564 (1967), held that the introduction of a chain and bullwhip

found with the gun was prejudicial. The Sixth Circuit in *Brubaker v. U.S.*, 183 F. 2d 894 (1965) in an indictment based upon the Dyer Act, the Court held that the introduction of loaded guns which were found in a suitcase in the automobile was prejudicial, on the basis that they had no connection with the crime. The Ninth Circuit in *Moody v. U.S.*, 376 F. 2d 525 (1967), held that a loaded gun, which was found in a glove compartment in an automobile in a narcotic's indictment required reversal when the loaded gun was offered into evidence, and there was no connection with the narcotic charge.

II.

The Court of Appeals' determination that the wife's consent to search under the circumstances constitutes a most sweeping departure from the accepted and usual protections guaranteed by the Fourth Amendment. The question presented is of profound significance as to search and seizure. It is axiomatic that the constitution endows every citizen with an individual right to the preservation of his privacy against official intrusion. "The Fourth Amendment protects people, not places," *Katz v. United States*, 389 U.S. 347, 351 (1967). The Courts have recognized that the principal object of the Fourth Amendment is the protection of privacy, rather than property. The privacy of a transitory hotel resident was protected in *Stoner v. California*, 376 U.S. 483 (1964) where the Court held that it was the Defendant's constitutional right which was at stake and not the night clerk's nor the hotel's. It was a right which only the defendant could waive by word or deed either directly or through an agent.

The Seventh Circuit in *United States v. Airdo*, 380 F. 2d 103 (1967) rejected the agency rationale in the context of marital relationships while restating the rule regulating consent by a wife to a search of the dwelling occupied by

her and her husband. The Court acknowledged the rule that where two persons have equal rights to the use or occupation of the premises, either may give consent to a search, and the evidence thus disclosed can be used against either. The considerations most applicable to the third persons consent in such cases are not related to principles of agency connecting the defendant with the person acquiescing in the search, but rather concerned with reasonableness under all the circumstances of the search consented to by a person having immediate control and authority over the premises or the property searched.

This view is in accord with the holdings of a number of other circuits. *United States ex rel. Cabey v. Mazurkiewicz,* 431 F. 2d 839 (3rd Cir. 1970); *United States v. Thompson,* 421 F. 2d 373 (5th Cir. 1970); *United States v. Mackiewicz,* 401 F. 2d 219 (2nd Cir.), cert. denied, 393 U.S. 923 (1968); *Pasterchik v. United States,* 400 F. 2d 696 (9th Cir. 1968) cert. denied, 395 U.S. 982 (1969); *Anderson v. United States,* 399 F. 2d 753 (10th Cir. 1968); *United States v. Alloway,* 397 F. 2d 105 (6th Cir. 1968); *Roberts v. United States,* 332 F. 2d 892 (8th Cir. 1964), cert. denied, 380 U.S. 980 (1965).

CONCLUSION

For the foregoing reasons, this Petition for a Writ of Certiorari and Request for Summary Reversal should be granted. The decision below is palpably erroneous.

Respectfully submitted,

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January, 1973

APPENDIX A

In the

UNITED STATES COURT OF APPEALS

For the Seventh Circuit

September Term, 1972 — September Session, 1972

No. 71-1580

UNITED STATES OF AMERICA,
Plaintiff-Appellee,

v.

ERVIN W. STONE,
Defendant-Appellant.

Appeal from the United States District Court for the Southern District of Illinois
No. 7072
HONORABLE OMER POOS, Judge

Argued September 22, 1972 — Decided November 29, 1972

Before SWYGERT, Chief Judge; CUMMINGS and SPRECHER, Circuit Judges.

SPRECHER, Circuit Judge. The defendant was convicted by a jury of the robbery of the State Bank of Latham, Illinois.[1] Numerous alleged errors are raised in this appeal, each of which is considered below. We affirm the judgment of conviction.

The bank robbery occurred at approximately 3:00 P.M. on March 11, 1970. Witnesses to the robbery testified that the robber wore a gray cloth mask, gloves and a hat, and

[1] The indictment charged defendant with violating 18 U.S.C. §§2113 (a),(b) and (d).

carried a gun having a barrel approximately six inches long. The robber was identified as being a man with a fair complexion, dark reddish hair and over six feet tall. The defendant was arrested and charged with the crime eleven months later.

At the trial, the four bank employees who had witnessed the crime testified as to the events of the day in question. None of them was able to make an equivocal positive identification of the defendant, but two employees thought the defendant was the man who had robbed the bank.

The principal evidence indicating that Stone was the bank robber came from the testimony of two acquaintances of his and from testimony which showed that he was suddenly in possession of large sums of money. Linda Hudspeth, who had known Stone since September, 1969, testified that the defendant told her he was planning to rob the Latham bank and asked her to purchase a gray scarf for him, which she did in February, 1970. She also testified that Stone called her late in the afternoon of March 11, 1970, and told her he had just robbed the bank. The following day Stone reportedly gave her three hundred dollars and told her that he had buried the gun and clothing used in the robbery. Somewhat later an altercation apparently developed between the witness and the defendant. Mrs. Hudspeth stated that she threatened to report the crime and that Stone told her if she did he would kill her. Stone showed her a small pistol which he carried.

A second acquaintance of the defendant, Andrew Skelton, testified that he had gone to school with Stone and been a friend of his for twelve years. According to his testimony, Stone called him on February 13, 1970, and told him he was going to rob a small bank because he needed money for support payments. Skelton testified that he next saw Stone

on May 5, 1970, when the defendant drove Skelton to a bank in Greenview, Illinois, where he had previously rented a safe deposit box, and showed the witness a green tackle box containing white envelopes filled with money. The witness stated that he told Stone he did not wish to become involved but that Stone threatened him with a .22 caliber pistol. Subsequently, Skelton traveled with Stone to various cities in Iowa and Illinois where the defendant converted the allegedly stolen money into cashier's checks. Testimony from other persons substantiated much of Skelton's testimony. A green tackle box taken from the defendant's home when he was arrested was identified by Skelton as the box containing the money.

The government produced numerous witnesses who testified to substantial deposits made to the defendant's bank account during May of 1970, to expenditures of $4,250 for a truck and $725 for a boat in May, 1970, and to the fact that Stone's name appeared on money orders and drafts purchased at various banks. Thus, even without the positive identification of the defendant by the bank employees, the evidence was substantial that Stone was the bank robber.

I.

Stone's first complaint relates to the introduction of a .22 caliber pistol into evidence which was apparently unconnected with the robbery of the Latham State Bank. The revolver was taken from underneath the mattress in the defendant's home at the time of his arrest. Although a gun was used by the bank robber, testimony indicated that it was unlikely that the gun introduced at trial could have been the firearm used in the robbery.[2] Government counsel, in his closing argument, conceded as much, stating: "Now, I'm not suggesting, ladies and gentlemen, that this was

[2] The bank employees testified that the gun used in the robbery had a long barrel.

the gun in which the bank robbery was completed. In fact the barrel isn't long enough . . . ." In the remainder of his argument, the prosecutor indicated that the purpose for which the gun had been introduced was to corroborate the testimony of Mrs. Hudspeth and Skelton as well as to promote an image of the defendant as a dangerous and generally disreputable person.[3]

Stone argues that the introduction of this gun for these purposes was improper and prejudicial to his case. In *Moore v. Illinois*, 408 U.S. 786, 798-800 (1972), the Supreme Court held that the introduction of a 16-gauge shotgun into evidence in a murder trial in which the victim had been killed by a 12-gauge shotgun was permissible under the due process clause. The prosecutor in that case stated that his purpose in introducing the weapon was to show that the defendant and his companion were "the type of people that use shotguns." Under this ruling, there can be no question but that the introduction of the gun in this case did not violate the defendant's right to a fair trial under the due process clause of the Fourteenth Amendment.

Defendant nevertheless argues that under federal evidentiary standards, the introduction of the gun was an abuse of discretion on the part of the trial judge. The trial judge has wide discretion in the admission or exclu-

[3] The prosecutor stated:

"I'm asking you to look at the threats of violence made to these people in the bank. I'm asking you to look at what Linda told us. You don't tell anybody, or you'll get a bullet in the head. I'm asking you to look at what Joe Skelton told us, don't you do anything or I'll kill you. I'll shoot you with this gun. If I don't get you, somebody else will, and then I finally ask you to consider, here's a man sleeping in his bed in his home with young children running around the house, his wife and young children running around the house, sleeping in bed at approximately twelve noon, on a weekday, with a fully loaded revolver right under the mattress where he's sleeping." (R. 493-94)

sion of collateral evidence. There can be no doubt that the gun had probative value in substantiating the statements of Mrs. Hudspeth and Skelton. Defendant argues that evidence of threats against these witnesses was inadmissible, and that even if admissible, there was no showing that the gun introduced into evidence was the weapon with which they were threatened. These arguments ignore the circumstances present in this case. Mrs. Hudspeth and Skelton were both subjected to rigorous cross-examination designed to discredit their testimony and to show that Mrs. Hudspeth was a disappointed girl friend who in fact threatened to kill the defendant. Both witnesses were cross-examined as to why they had waited so long to tell authorities their stories. Stone did not object at the trial to testimony as to threats. Once the threats were in evidence, and the credibility of the witnesses was attacked, the .22 caliber pistol became highly relevant as tending to prove that the defendant could in fact have carried out the alleged threats.[4] We cannot say that any possible prejudicial effect outweighed the probative value of the pistol under these circumstances.

Defendant also alleges error in admission into evidence of the fruits of a search of his home made pursuant to his wife's consent shortly after Stone's arrest. Stone insists, first, that his wife could not legally give consent to such a search unless an "agency" relationship is established; and second, that even if a spouse's consent were otherwise sufficient, the consent in this case was the product of coercion. The first part of this argument is without merit. In *United States v. Sferas*, 210 F. 2d 69, 74 (7th

[4] The Revised Draft of the Proposed Rules of Evidence for the United States Courts and Magistrates, Rule 401 (March 1971), defines relevant evidence as "evidence having any tendency to make the existence of any fact that is of consequence to the determination of the action more probable or less probable than it would be without the evidence." 51 F.R.D. 315, 342.

Cir.), *cert. denied,* 347 U.S. 935 (1954), this court held that "where two persons have equal rights to the use or occupation of premises, either may give consent to a search, and the evidence thus disclosed can be used against either." This holding is applicable here and the wife's consent, unless given under coercion, is binding upon her husband. *See also United States v. Johnson,* 413 F. 2d 1396, 1400 (5th Cir. 1969); *Roberts v. United States,* 332 F. 2d 892, 896-897 (8th Cir.), *cert. denied,* 380 U.S. 980 (1964).

The question of whether a consent is given voluntarily and without coercion is one of fact. Stone argues that two factors allegedly present in the instant case preclude a finding that the consent was freely given. Mrs. Stone executed the consent form giving F.B.I. agents authority to search the house approximately eight minutes after defendant's arrest. There was some testimony that she was upset at the time but the record does not indicate that she was any more disturbed than any other person would be likely to be at the sudden intrusion of police officials under these circumstances. To hold that the mere condition of being "upset" by the presence at one's home of F.B.I. agents is enough to make any consent the product of coercion might effectively foreclose almost all searches conducted pursuant to a voluntary consent. We decline to so hold.

The defendant also argues that the F.B.I. agents told Mrs. Stone that if she did not consent they could obtain a search warrant. The testimony on this point is conflicting. Mrs. Stone testified that she was positive that the F.B.I. agents said they could get a search warrant. The F.B.I. agent in charge of the Stone case testified that to his knowledge no one stated that the F.B.I. could obtain a warrant. He further testified that it would have been highly unlikely that anyone would so state since the F.B.I.

had previously been advised that there was insufficient probable cause for authorization of a warrant. The trial judge heard the testimony of these witnesses and observed their demeanor on the witness stand and believed the testimony of F.B.I. agent Joseph Giglio. There is no basis for overturning this conclusion. Mrs. Stone testified that no threats were made to her by the F.B.I. agents and that she read and signed the consent form voluntarily. We conclude that there was no error in the ruling of the district court.

III.

The defendant argues that the government failed to disclose evidence favorable to the accused prior to trial. This argument is premised on the fact that the government had knowledge, prior to trial, that two of the bank employees could not identify the defendant. Stone insists that failure to inform the defendant of this evidence before trial violated his due process rights under the holding of *Brady v. Maryland,* 373 U.S. 83 (1963). However, in *Brady,* the defendant never had the benefit of the exculpatory statement. The government in this case produced the two witnesses at trial and they testified that they could not identify the defendant. Stone has failed to show that any prejudice resulted from a failure to make the testimony available to him at an earlier time.

IV.

Additional errors are alleged relating to a jury instruction as to reasonable doubt; separate instructions as to each count of the indictment; failure to give an instruction on the weight and credibility to be given the testimony of Skelton and Mrs. Hudspeth; failure to grant a mistrial when a witness wept on the stand; failure to declare a mistrial because the prosecutor referred to a juror by name; and failure to grant a mistrial because

of adverse newspaper publicity. Defendant also attacks the sufficiency of the evidence to sustain the guilty verdict. We have examined these contentions and find them to be without merit. The judgment is affirmed.

AFFIRMED.

SWYGERT, Chief Judge, dissenting.

I would reverse the conviction of the defendant on the single ground that the Federal Bureau of Investigation conducted an illegal search of the defendant's home and that the evidence obtained by that search should have been suppressed.

The evidence showed that on the day of the defendant's arrest seven or eight special agents of the F.B.I. arrived at his home shortly before noon. The defendant was sleeping at the time, and his wife admitted the agents. After being awakened from sleep, the defendant dressed. He was then handcuffed and placed in an automobile. Several minutes after her husband was taken from the house, the agents presented Mrs. Stone with a written consent to search the premises of the home, which consent she signed. The agents thereupon searched the house and seized a tackle box containing duplicate copies of the money orders obtained by the defendant. The tackle box and its contents were admitted into evidence over the objection of the defendant.

Mrs. Stone testified that the agents told her that she could sign the consent to search or that they could get a search warrant. This was denied by the F.B.I. agent in charge of the case. Mrs. Stone also testified that she was quite upset at the time. The testimony of two agents is contradictory on this point: one said Mrs. Stone appeared calm when she signed the consent; another said that she

appeared to be upset. The defendant testified that he never gave the F.B.I. agents permission to search nor did he give his wife permission to consent to the search.

A significant aspect of the question presented in this case is the fact that the F.B.I. agent in charge testified that he had conversed with the Assistant United States Attorney handling the case—presumably before the search—and had been advised that there was insufficient probable cause for the authorization of a search warrant.

I.

It is axiomatic that the Constitution endows every citizen with an individual right to the preservation of his privacy against official intrusion. The "Fourth Amendment protects people, not places." *Katz v. United States,* 389 U.S. 347, 351 (1967). The right of privacy which the Constitution protects is not strictly coextensive with spatial expanses over which a person has some superior interest recognized by the law of property. As the Supreme Court said in *Warden v. Hayden,* 387 U.S. 294, 304 (1967), "[w]e have recognized that the principal object of the Fourth Amendment is the protection of privacy rather than property, and have increasingly discarded fictional and procedural barriers rested on property concepts." Thus the defendant in *Katz* was protected from governmental intrusion by phone tap into a public telephone booth. Similarly, the privacy of a transitory hotel resident was protected in *Stoner v. California,* 376 U.S. 483 (1964), where the Court held:

> It is important to bear in mind that it was petitioner's constitutional right which was at stake here, and not the night clerk's nor the hotel's. It was a right, therefore, which only petitioner could waive by word or deed, either directly or through an agent. 376 U.S. at 489.

In sum, the central policy of the fourth amendment is to protect from official search areas "where, like a home . . . a person has a constitutionally protected reasonable expectation of privacy." *Katz v. United States,* 389 U.S. at 360 (Harlan, J. concurring).

A reasonable individual expectation of privacy may be officially invaded only under special circumstances. Foremost of course is when a search warrant is issued by a magistrate. Recently the Supreme Court said: "The presence of a search warrant serves a high function. Absent some grave emergency, the Fourth Amendment has interposed a magistrate between the citizen and the police." *Chimel v. California,* 395 U.S. 752, 760 (1969). The Court went on to say:

> And so the Constitution requires a magistrate to pass on the desires of the police before they violate the privacy of the home. We cannot be true to that constitutional requirement and excuse the absence of a search warrant without a showing by those who seek exemption from the constitutional mandate that the exigencies of the situation made that course imperative. 395 U.S. at 760 (1968), quoting *McDonald v. United States,* 335 U.S. 451, 456 (1948).

Thus the warrant requirement is removed when the search may be justified by an emergency or "exigent" circumstance. For example, a warrantless search of a stopped automobile was authorized in *Carroll v. United States,* 267 U.S. 132 (1925), in response to the reality that a vehicle may move on and disappear before a warrant can be obtained. Similarly, *Chimel* allowed a warrantless search incident to an arrest whenever the arresting officer reasonably fears attack by the arrestee with a weapon concealed on his person, or fears that the arrestee will be able to dispose of easily destructible or concealable evidence before a warrant may be obtained.

Another exception to the warrant requirement is a search which follows the voluntary consent of the person whose privacy is sought to be invaded. As indicated by the Supreme Court in *Stoner,* the essence of this exception is a waiver of the constitutional right to privacy, and not a subordination of personal expectations of privacy to paramount policies of law enforcement. The same cannot be said of search by consent of third parties. This exception rests, I submit, on a different footing—more akin to that which justifies a warrantless search in exigent circumstances than to the waiver theory underlying a pure consent search.

II.

Only where a true agency may be shown, in the strictest legal sense of that term, may a third party consent to a waiver of another's rights under the fourth amendment. *Stoner v. California,* 376 U.S. at 489. This is a corollary of the long settled rule that constitutional rights may be waived by their holder and none other. With respect to agency in the husband-wife setting, it is an established principle that the marital relationship entrusts the wife with neither actual nor apparent authority to bind her husband in commercial transactions. Restatement (Second) of Agency §22 (1957). A fortiori, this view applies to so personal a matter as the waiver of constitutional rights. In *United States v. Airdo,* 380 F. 2d 103 (7th Cir. 1967) this Circuit rejected the agency rationale in the context of marital relationships while restating the rule regulating consent by a wife to a search of the dwelling occupied by her and her husband:

> [W]e acknowledge the rule that "where two persons have equal rights to the use or occupation of premises, either may give consent to a search, and the evidence thus disclosed can be used against either." . . . The considerations most applicable to the third person's

> consent in such cases are not related to principles of agency connecting the defendant with the person acquiescing in the search, but rather concern the *reasonableness, under all the circumstances,* of a search consented to by a person having immediate control and authority over the premises or property searched. *United States v. Airdo,* 380 F. 2d 103, 106-107 (7th Cir. 1967), *cert. denied,* 389 U.S. 913 (1967) (emphasis added).

This view is in accord with the holdings of a number of other circuits. *United States ex rel. Cabey v. Mazurkiewicz,* 431 F. 2d 839 (3d Cir. 1970); *United States v. Thompson,* 421 F. 2d 373 (5th Cir. 1970); *United States v. Mackiewicz,* 401 F. 2d 219 (2nd Cir.), *cert. denied,* 393 U.S. 923 (1968); *Pasterchik v. United States,* 400 F. 2d 696 (9th Cir. 1968), *cert. denied,* 395 U.S. 982 (1969); *Anderson v. United States,* 399 F. 2d 753 (10th Cir. 1968); *United States v. Alloway,* 397 F. 2d 105 (6th Cir. 1968); *Roberts v. United States,* 332 F. 2d 892 (8th Cir. 1964) *cert. denied,* 380 U.S. 980 (1965).

The touchstone of the rationale underlying the rule enunciated in *Airdo* is "the reasonableness, under all the circumstances" of the third person consent, the voluntariness of that consent aside. Some courts have justified a search by third party consent by reasoning that a party who lives with another or shares an interest in property with another "takes the risk" that the other will consent to a police search of the shared dwelling or property. *See, e.g., Marshall v. United States,* 352 F. 2d 1013 (9th Cir. 1965); *State v. McCarthy,* 20 Ohio App. 2d 275, 253 N.E. 2d 789 (1969),* in other words, that a person holding

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* Some courts have offered what may be another explanation of the joint possession rule. See, for example, *Roberts v. United States,* 332 F.2d 892 (8th Cir. 1964), where the Eighth Circuit stated:

> [T]he right of the wife . . . to enter the home which was in her possession and control cannot be seriously questioned and . . . *her*

only part interest in the use of premises cannot reasonably expect that his dealings within those premises be free from official prying. What is being said, essentially, is that a spouse or cotenant lacks a personal interest cognizable under the fourth amendment. With this view, I strongly disagree. The constitutional right of privacy is individual to each person and may not be compromised so as to permit police intrusion by the mere sharing with another of the situs or property from which that right emanates in concrete form.

A fatal flaw in the "risk" theory is shown by cases holding that where one tenant gives permission to search an apartment, evidence obtained in that search is inadmissible against a cotenant having equal rights in the premises if the latter was present at the time of search and objected thereto. *Lucero v. Donovan,* 354 F. 2d 16 (9th Cir. 1968); *see Dorsey v. State,* 2 Md. App. 40, 232 A. 2d 900 (1967); *Tompkins v. Superior Court,* 59 Cal. 2d 65, 27 Cal. Rptr. 889, 378 P. 2d 113 (1963); *Carlton v. United States,* 391 F. 2d 684, 686 n. 4 (8th Cir. 1968); *contra, People v. Smith,* 6 Cal. Rptr. 866 (Dist. Ct. App. 1960). This question is pertinent: If it is true that the objector has accepted the "risk" of a consent search and no longer expects privacy of his affairs in the dwelling, why does his presence

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*(Continued)

invitation to and authorization to the officers to enter and search was an outgrowth thereof. It is not a question of agency, for a wife should not be held to have authority to waive her husband's constitutional rights. This is a question of the wife's own rights to authorize entry into . . . premises where she lives and of which she had control. 332 F.2d at 896 (emphasis added).

This analysis is apparently distinct from "risk" theory, and assumes that an absent party whose dwelling is searched is the holder of unwaived fourth amendment rights to privacy. Unfortunately, the position fails to explain why these rights of the absent party become irrelevant upon the consent of a wife or cotenant, or how the right of entry of the wife has as its natural "outgrowth" the right to authorize a police search against her husband.

have an impact on the legality of the search? The question, I submit, cannot be answered. Nevertheless, I do agree that the absence of a party whose property is sought to be searched is an important consideration relevant to the reasonableness of a search by third party consent.

III.

In my judgment, search by third party consent rests on the same policy of government need which supports an exception to the warrant requirement in exigent circumstances. When time or a lack of probable cause does not permit a warrant to be obtained, a search by consent is often the only way in which a dwelling may legally be searched. Thus, the precise issue of third party consent should be this: Are the police to be deprived of the benefits of a consent search of a shared premises when the only party who could effectively waive his fourth amendment rights to privacy, and who might do so if asked, is absent from those premises? I suggest that the answer lies in the reasonableness of this kind of search, considering all the attendant circumstances. The exigencies of the situation, the relationship of the parties, the whereabouts of the absent party, and the reasons for his absence are all relevant factors.

Not all third parties may effectively consent, of course. Where the third party has interests indifferent or adverse to those of the absent person, consent will not lie. For example, the hotel clerk, as in *Stoner*, is indifferent to the privacy interest of the room renter. The estranged wife is adverse to her husband's fourth amendment rights. *Cf. Kelly v. State*, 184 Tenn. 143, 197 S.W. 2d 545 (1946). Conversely, the common interests and intimate character of the relationship of the parties may lend support for the

third party consent search. See *United States ex rel. Cabey v. Mazurkiewicz*, 431 F. 2d 839, 843 (3d Cir. 1970).

Basic to the third party consent rationale is the premise that the absent party might, were he present, consent to the search, in which event no constitutional rights would be violated. Therefore the whereabouts of the absent party is highly important, as is the cause of his absence. *See* 39 U. Cin. L. Rev. 807, 814 (1970); 79 Harv. L. Rev. 1513, 1519 (1966). When the person who could waive his right to privacy is absent from his dwelling by reason of flight or hiding from law enforcement officers for fear of arrest, as in *Wade v. Warden, Maryland Penitentiary*, 278 F. Supp. 904 (D. Md. 1968), a strong case for third party consent to search is presented. The suspect has consciously made himself unavailable to the police, and it is entirely his fault that they cannot obtain his answer to their request to search. If, on the other hand, his absence is not in response to a fear of arrest, but simply the result of an errand or other everyday activity, the case becomes less clear.

In the present case, the defendant was not "absent" in any sense in which that term should be used in the context of a third party consent search. He was in official custody at the scene of the search only minutes prior to the consent of his wife and, after that, was in custody in transit to and at the police station. Without the slightest difficulty, the arresting officers could have requested his consent to search. They did not, perhaps in fear of a negative reply, and it is now contended that his wife's consent was valid on the basis of a rule which rests, as I see it, on the actual impossibility of presenting the suspect with a request to search. I reject this contention, and suggest that the rule

of *Airdo*, however sensible in other settings, was wrongly applied to the facts of this case.

A true Copy:

Teste:

Clerk of the United States Court of Appeals for the Seventh Circuit.

APPENDIX B

UNITED STATES COURT OF APPEALS

For the Seventh Circuit

Chicago, Illinois 60604

January 3, 1973

Before

HON. LUTHER M. SWYGERT, Chief Judge
HON. WALTER J. CUMMINGS, Circuit Judge
HON. ROBERT A. SPRECHER, Circuit Judge

UNITED STATES OF AMERICA,
Plaintiff-Appellee,

vs.

No. 71-1580
ERVIN STONE,
Defendant-Appellant.

Appeal from the United States District Court for the Southern District of Illinois, Southern Division. (CR 7072)

On consideration of the petition for rehearing and suggestion that it be heard *en banc* filed in the above-entitled cause, no judge in active service having requested a vote thereon, nor any judge voted to grant the suggestion, and a majority of the members of the panel having voted to deny a rehearing,

IT IS ORDERED that the petition for a rehearing in the above-entitled cause be and the same is hereby denied.

APPENDIX C

UNITED STATES DISTRICT COURT

SOUTHERN DISTRICT OF ILLINOIS

SOUTHERN DIVISION

UNITED STATES OF AMERICA,
Plaintiff,

-vs-

ERVIN W. STONE,
Defendant.

Criminal No. 7072

OPINION AND ORDER

This cause came on to be heard on the Motion of the defendant Ervin W. Stone, for a new trial. Defendant has been convicted by a jury for armed bank robbery.

At the trial of the above entitled cause, the United States introduced a hand gun into evidence which had been seized from the defendant's home. The United States pointed out that the gun "probably" was not used by the defendant during the bank robbery. The Court admitted the hand gun into evidence over the objections of the defendant.

The defendant alleges, among other things, that the gun has no probative value in this matter, and that it has a highly prejudicial effect upon the jury.

In light of this allegation the Court must determine whether or not the gun has probative value, and if probative value does exist, the Court must weight the probative value of this evidence against any tendency it might have to create unfair prejudice.

The hand gun was offered into evidence to give the jury an opportunity to pass on the issue of whether or not the

hand gun was in fact the firearm used in the commission of the robbery, or in the subsequent transaction with witness Skelton; to corroborate the testimony of the witnesses Skelton and Hudspeth that the defendant had threatened to kill them if they divulged their knowledge that the defendant had robbed the Bank and to demonstrate the defendant's ability to carry out the threat.

The Court is of the opinion, as it was at the time of trial, that the purpose for which the hand gun was offered into evidence is of a highly probative nature, and that its probative value far outweighs any prejudicial effect it may have had upon the jury. Accordingly, this Court holds that the hand gun is relevant, properly admitted into evidence, and that its probative value far outweighs any prejudicial effect it may have had upon the jury.

Assuming arguendo, that it was error to admit the hand gun into evidence, the Court must determine "if the error was harmless and whether there is a reasonable possibility that the evidence complained of might have contributed to the conviction." *Fahy v. Connecticut,* 375 U.S. 85 (1963). In *Chapman v. California,* 386 U.S. 18, 24 (1967), the Court stated, "Before a federal constitutional error can be held harmless, the Court must be able to declare a belief that it is harmless beyond a reasonable doubt." In addition to the standards set out in the *Fahy* and *Chapman* cases (supra) the Court must also take Rule 52(a) of the Federal Rules of Criminal Procedure into account. Rule 52(a) states: "Any error, defect, irregularity or variance which does not affect substantial rights shall be disregarded."

Again, assuming arguendo, that it was error to admit the hand gun into evidence, such error was harmless beyond a reasonable doubt since it did not effect any substantial

rights of the defendant whatsoever, nor is there any reasonable possibility that the evidence complained of might have contributed to the conviction of the defendant.

At the trial there was a mass of evidence demonstrating defendant's guilt beyond a reasonable doubt. The evidence at the trial included: (1) the eyewitness identification by the two bank employees; (2) the testimony of two persons whom the defendant told that he had committed the robbery, and who testified as to their roles in the planning of the crime; (3) the defendant's sudden and unexplained accumulation of great sums of money; and (4) the defendant's trip throughout Illinois and Iowa converting into traveler's and cashier's checks at approximately thirty different banks.

In light of the overwhelming evidence adduced at the trial, if error did exist, it was harmless beyond any reasonable doubt. *Harrington v. California,* 395 U.S. 250 (1969); *Chapman v. California,* 386 U.S. 18, 22-26 (1964); *United States v. Satterfield,* 410 F. 2d 1351, 1354 (7th Cir. 1969); *United States v. Lipowitz,* 401 F. 2d 591, 593 (3rd Cir. 1968), since no substantial rights of the defendant were affected nor is there any reasonable possibility that the evidence complained of might have contributed to the conviction of the defendant.

In light of the foregoing rationale, IT IS HEREBY ORDERED, ADJUDGED AND DECREED that defendant's Motion for a New Trial be, and the same is hereby denied.

Entered this 30th day of July, 1971.

/s/Omer Poos
Chief U.S. District Judge

In the Supreme Court of the United States

OCTOBER TERM, 1972

No. 72-1042

ERVIN W. STONE, PETITIONER

v.

UNITED STATES OF AMERICA

ON PETITION FOR A WRIT OF CERTIORARI TO THE UNITED STATES COURT OF APPEALS FOR THE SEVENTH CIRCUIT

BRIEF FOR THE UNITED STATES IN OPPOSITION

OPINIONS BELOW

The opinion of the court of appeals (Pet. App. A) is reported at 471 F. 2d 170. The opinion and order of the district court denying a motion for a new trial (Pet. App. C) are not reported.

JURISDICTION

The judgment of the court of appeals was entered on November 29, 1972. A petition for rehearing was denied on January 3, 1973 (Pet. App. B). The petition for a writ of certiorari was filed on January 26, 1973. The jurisdiction of this Court is invoked under 28 U.S.C. 1254(1).

QUESTIONS PRESENTED

1. Whether the admission in evidence of a pistol that had probative value with respect to important trial issues violated petitioner's right to a fair trial because it was not

the weapon used in the bank robbery with which he was charged.

2. Whether the search of a residence occupied jointly by petitioner and his wife, to which his wife had freely and voluntarily consented, was lawful even though the agents did not request petitioner's consent to conduct it.

STATEMENT

After a jury trial in the United States District Court for the Southern District of Illinois, petitioner was convicted of armed bank robbery (18 U.S.C. 2113(d)). On July 30, 1971, he was sentenced to 15 years' imprisonment subject to the parole eligibility provisions of 18 U.S.C. 4208(a)(2). The court of appeals affirmed, with one judge dissenting (Pet. App. A).

The evidence, the sufficiency of which to support the conviction is not disputed by petitioner, is summarized in the majority opinion below (at Pet. App. A1-A3) and need not be restated.

ARGUMENT

1. Petitioner contends that the admission in evidence of a pistol found under a mattress in his home at the time of his arrest deprived him of a fair trial because it was not the weapon used in the robbery (Pet. 2, 5-7). The claim, which was not addressed by the dissenting judge, is correctly answered in the majority opinion below (at Pet. App. A3-A5), on which, as to this point, we rely. For the reasons there stated the pistol had high probative value in corroborating the testimony of government witnesses on other issues, even though it was not the

robbery weapon—a fact which distinguishes the decisions relied on by petitioner (Pet. 6-7).[1]

It should be noted that the pistol was not one of the items of evidence seized pursuant to the consent search—the subject of petitioner's second contention (*infra*). The legality of the pistol's seizure is not in dispute.[2]

2. Petitioner's only other contention—and the only claim as to which the court below was divided—is that certain other evidence was unlawfully seized (Pet. 2, 7-8). The principal evidence involved, and the only evidence specifically complained of (Pet. 4; cf. Pet. App. A8), was a tackle box containing duplicate copies of some of the money orders into which petitioner, in his travels with witness Skelton to various cities in Illinois and Iowa, had converted a portion of the money alleged to have been stolen (Pet. App. A3; Tr. 315-318, 364). As the majority below noted (Pet. App. A5-A7), the evidence was seized in the course of a search of the residence jointly occupied by petitioner and his wife after his wife had expressly and without coercion consented.[3]

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[1] *Moore* v. *Illinois*, 408 U.S. 786, 798-800, the directly pertinent decision of this Court cited by the court below (Pet. App. A4), is not distinguishable on the ground suggested by petitioner (Pet. 5). There is no indication in *Moore* that the shotgun there involved was illegally possessed, or that the question whether its possession was lawful or unlawful was considered relevant by this Court.

[2] The pistol was seized incidentally to petitioner's arrest, pursuant to an arrest warrant, in a bedroom of his home. Petitioner's wife had led the arresting agents to the bedroom after admitting them in response to their midday knock. The loaded gun was found under the mattress of a bed on which petitioner lay asleep when he was apprehended (Tr. 304-305, 332-334, 337, 341, 343-344). Cf. *Chimel* v. *California*, 395 U.S. 752, 763.

"Tr." refers to the trial transcript (in two consecutively paginated volumes), a copy of which is being lodged herewith.

[3] The dissent does not question the voluntariness of Mrs. Stone's consent; nor does petitioner in this Court. We rely, in any event, on the majority's discussion as to that question.

It is settled that, where two persons have equal rights to the use or occupation of premises, either may consent to a search, and evidence thus discovered may be used against either. *United States* v. *Thompson,* 421 F.2d 373, 375-377 (C.A. 5), vacated on other grounds, 400 U.S. 17; *United States* v. *Johnson,* 413 F. 2d 1396, 1400 (C.A. 5); *United States* v. *Mackiewicz,* 401 F. 2d 219, 223-224 (C.A. 2), certiorari denied, 393 U.S. 923; *United States* v. *Alloway,* 397 F. 2d 105, 108-110 (C.A. 6); *Nelson* v. *People of State of California,* 346 F. 2d 73, 77 (C.A. 9), certiorari denied, 382 U.S. 964; *Roberts* v. *United States,* 332 F. 2d 892 (C.A. 8), certiorari denied, 380 U.S. 980; *Stein* v. *United States,* 166 F. 2d 851, 855 (C.A. 9), certiorari denied, 334 U.S. 844; see, also, *Coolidge* v. *New Hampshire,* 403 U.S. 443, 487-490, where this Court upheld the use against a husband of evidence voluntarily given to the police by his wife after she invited them into the jointly occupied home.[4] Mrs. Stone, who shared control of her home with her husband, thus had authority to permit law enforcement officers to search it.

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[4] The cases cited all involved spouses. The principle has also been applied, however, to persons related otherwise than as spouses. See, *e.g., Frazier* v. *Cupp,* 394 U.S. 731, 740 (joint user of duffel bag has authority to consent to its search, and evidence found may be introduced against the other user); *Gurleski* v. *United States,* 405 F. 2d 253, 260-262 (C.A. 5), certiorari denied, 395 U.S. 981; *Pasterchik* v. *United States,* 400 F. 2d 696, 699 (C.A. 9), certiorari denied, 395 U.S. 982; *Anderson* v. *United States,* 399 F. 2d 753 (C.A. 10); *United States* v. *Airdo,* 380 F. 2d 103, 105-107 (C.A. 7), certiorari denied, 389 U.S. 913; *Drummond* v. *United States,* 350 F. 2d 983, 989 (C.A. 8), certiorari denied *sub nom. Castaldi* v. *United States,* 384 U.S. 944; *Maxwell* v. *Stephens,* 348 F. 2d 325, 334-338 (C.A. 8), certiorari denied, 382 U.S. 944; *Burge* v. *United States,* 342 F. 2d 408, 412-413 (C.A. 9), certiorari denied, 382 U.S. 829; *United States* v. *Sferas,* 210 F. 2d 69, 73-75 (C.A. 7), certiorari denied as to other issues *sub nom. Skally* v. *United States,* 347 U.S. 935. And see *Bumper* v. *North Carolina,* 391 U.S. 543, 548 n. 11.

The rationale of the rule is not, as the dissenting judge suggested (Pet. App. A15), that one can assume the absent occupant would himself have consented if he had been present, nor is it that the consenting occupant, acting as "agent," waives the other's rights. Rather, the theory is that, since each occupant has full access to the premises, he can waive his *own* rights and permit law enforcement officials lawfully to search those premises. The absent spouse must be deemed to bear the risk that such a search might be authorized. See *Roberts* v. *United States, supra,* 332 F. 2d at 896-897.

It is true, of course, as this Court has pointed out, that the Fourth Amendment "protects people, not places" (*Katz* v. *United States,* 389 U.S. U.S. 347, 351) and that the right to be free from unreasonable searches does not depend upon "subtle distinctions, developed and refined by the common law in evolving the body of private property law * * *" (*Jones* v. *United States,* 362 U.S. 257, 266). But this is not a case of property right distinctions. Cf. *Maxwell* v. *Stephens,* 348 F. 2d 325, 337 (C.A. 8), certiorari denied, 382 U.S. 944. It is not disputed that petitioner's wife, as joint occupant with her husband of their common residence, had full and equal right of access to every part of the premises and to invite whom she chose to enter.

The reason the dissenting judge considered the search here unreasonable was that the agents could readily have requested petitioner's consent to conduct it and did not (Pet. App. A15-A16). But the decisions suggest no such limitation on the right of one properly in control of jointly occupied premises to permit a search by law enforcement officials, or on the right of the officers to act pursuant to the authorization. In *United States* v. *Airdo,* 380 F. 2d 103, 106-107 (C.A. 7), certiorari denied, 389 U.S. 913, the court below (speaking through the pre-

sent dissenter) acknowledged the validity of the third-party consent rule and commented that the applicable consideration was not any agency concept but the reasonableness under the circumstances of a search consented to by a person having immediate control of the premises searched. The dissent quotes the *Airdo* comment with approval (at Pet. App. A11-A12), but concludes that the reasonableness test was not met here for the reason noted. It is significant, however, that in the very case which *Airdo* cited (380 F. 2d at 107) as illustrative in this connection—*Roberts, supra,* 332 F. 2d at 894—the nonconsenting party was, as here, in custody when the authorization to search was obtained, so that his consent could have been sought as readily as could petitioner's. This was also the situation in several of the other decisions cited by the dissent (Pet. App. A12) and by petitioner (Pet. 8) as involving reasonable third-party consent searches. *Pasterchik* v. *United States,* 400 F. 2d 696, 698 (C.A. 9), certiorari denied, 395 U.S. 982; *Anderson* v. *United States,* 399 F. 2d 753, 754 (C.A. 10); *United States* v. *Alloway, supra,* 397 F. 2d at 107. Cf. *Maxwell* v. *Stephens, supra,* 348 F. 2d at 335.

CONCLUSION

It is therefore respectfully submitted that the petition for a writ of certiorari should be denied.

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PHILIP R. MONAHAN,
Attorney.

MARCH 1973.